

**Office of Grant and Contract Administration (OGCA)
University of Massachusetts, Amherst Campus
Fact Sheet (rev. 08/11/09)**

University's Legal Name:

The University of Massachusetts, Amherst, a state university within the Commonwealth of Massachusetts established under Chapter 75, as amended, and Chapter 142 of the Acts of 1991.

University's Address: c/o Office of Grant and Contract Administration
Research Administration Bldg, 70 Butterfield Terrace,
Amherst, MA 01003-9242

University's Authority to Enter into Contractual Agreements: Mass. Gen. Laws Chapter 75 (as amended), Sections 1 and 11.

Authorized Representative: (Authority to accept grants, execute contracts for Sponsored Programs, and sign/submit proposals)

Carol P. Sprague, Director **OR**
Jennifer A. Donais, Associate Director
OGCA@research.umass.edu Phone 413-545-0698 Fax 413-545-1202

Political Subdivision: 1st Congressional District of Massachusetts, Hampshire County

Cognizant Audit Agency: DHHS, Michael Stanco, HHS Representative, 26 Federal Plaza, Rm. 41-122, New York, NY 10278. Phone (212) 264-1823

Contract Administration Office (DOD and NASA projects): Office of Naval Research/ONR Draper, 495 Summer Street, Room 103, Boston, MA 02210-2109. Phone: 617-753-4640

Indirect Cost:

Federal Indirect Cost Rate Agreement with the Department of Health and Human Services (DHHS) effective immediately. www.umass.edu/research/ogca/policies/indcst01.pdf These Predetermined Rates should be used respectively to calculate the indirect cost rate for all research proposals submitted within each applicable period. On/off campus policy is located at: www.umass.edu/research/ogca/policies/onoffind.htm

Research	On-campus	57% MTDC	7/1/09-6/30/10	(FY10)
		58% MTDC	7/1/10-6/30/11	(FY11)
		58.5% MTDC	7/1/11-6/30/12	(FY12)
		59% MTDC	7/1/12-6/30/13	(FY13)
	Off-campus	26% MTDC	7/1/09-6/30/13	
Instruction	On-campus	47% MTDC	Off-campus 26% MTDC	7/1/09-6/30/13
Other sponsored activities	On-campus	34% MTDC	Off-campus 24 % MTDC	7/1/09-6/30/13

Definitions of Project Types for F&A purposes, <http://www.umass.edu/research/ogca/fact/Definitions%20of%20F&A%20rates.pdf>

Non-federal Indirect Cost Rates:

Industry and Non-Mass. gov't. agencies	57% MTDC	7/1/09-6/30/10	(FY10)
	58% MTDC	7/1/10-6/30/11	(FY11)
	58.5% MTDC	7/1/11-6/30/12	(FY12)
	59% MTDC	7/1/12-6/30/13	(FY13)
Foundations and non-profits	20% TDC		
Comm. of Mass. & local gov't. agencies	26% MTDC		
Unrestricted grants (\$1000 & over)	10% TDC		

Definition of an Unrestricted Grant <http://www.umass.edu/research/ogca/fact/Unrestricted%20grants%20definition.pdf>

Benefited Positions / Fringe Rates:

Fringe 7/1/09 - 6/30/10	26.42% + workers comp 0.37%, + UI, UHI, MTX 1.38% = 28.17%
Health & Welfare	\$13.00 weekly = \$676 annually
Sick Leave Bank	0.20% not assessed on Faculty Salaries

Fringe benefits applicable to direct salaries and wages are treated as direct costs. They are the rates identified in the Massachusetts Statewide Cost Allocation Plan approved by DHHS. This rate is comprised of Group Insurance and Retirement. The combined rate must be applied to all benefited personnel on any awards.

Health and Welfare (H & W) for all benefited positions is \$13.00 per week (\$676 annual FTE) (prorate on part-time positions). However, as with the Fringe Benefit Rate, for split-funded personnel, the H & W must be charged to each account on a prorated basis.

Non Benefited Positions:

Workers Compensation, UI, UHI, MTX **1.75%**

Post Doctoral Fellows:

Workers Compensation, UI, UHI, MTX **1.75%**

(Health Insurance	Fellow	\$221/mo.	\$2,652/annual
	Spouse	\$550/mo. + \$221 for fellow	\$9,252/annual
	Child(ren)	\$396/mo. + \$221 for fellow	\$7,404/annual
		(+\$550 for spouse if applicable)	\$14,004/annual

Faculty Summer Salary:

Workers Compensation, UI, UHI, MTX **1.75%**

Faculty Academic Release Time: (see Benefited Positions)

Faculty Academic Year Ad Comp: Not Allowable in Most Cases – Refer To:

<http://www.umass.edu/research/ogca/news/Add%20Comp%20Final%20May%202006.htm>

Students:

Summer Student Payroll: ALL STUDENTS (excluding Post Docs and Fellows) employed for the summer and not enrolled in classes are to be assessed 1.38% UI, UHI, MTX on the summer salary.

Graduate Student Stipend – For up to date Minimum RA salary rates by academic area, per student Contracts See the Assistantship Information Link:

http://www.umass.edu/gradschool/assistantship/Student%20important_info.htm

Graduate Student Fee Calculator: Save yourself some time and use the OGCA student fee calculator located: http://ogca.admin.umass.edu/GAMS/GS_fringe_calc_a.jsp

Graduate Student Health & Welfare \$13/wk. must be assessed to all Graduate Student appointments.

Graduate Student Curriculum Fee – The rate charged effective 9/1/09 is \$7,098.40 per FTE on a single account. An FTE is equal to 760 hours or more of employment for the year, or \$186.80 per week for 20 hours of work. Appointments less than the 760 FTE shall use the rate of \$9.34 per hour to assess the rate. Each additional year should be inflated by a 5% COLA. (FY 11 \$7,453.32, FY 12 \$7,825.99, FY 13 \$8,217.29 unless notified of other rate increase) Summer appointments are not charged curriculum fees. Curriculum fees are exempt from indirect costs calculations. Projects that are currently in non-competing status will be grandfathered at their current rate until a competitive application or, a supplement that was not in the original scope of a project, is submitted.

GEO Health Deferment Rate - The rate is \$3,268.00 for an academic year appointment of 760 hours. An hourly rate of \$4.30 will be assessed as earned. Therefore, for an appointment of 20 hours per week for 38 weeks (academic) and 40 hours per week for 14 weeks (summer), the charge would be a total of \$5,676.00. An appointment that is 20 hrs per week both summer and academic year (1,040 hrs) would be assessed \$4472.00.

Tuition Waivers: Cost Sharing of tuition waivers, **if allowable** under federal Cost Accounting Standards, (see <http://www.umass.edu/research/pol.html>) use the blended rate of \$2705.50 per RA semester.

Miscellaneous Pertinent Information:

Federal Employer Identification Number	043-167-352 043-167-352B5 (HHS Proposals ONLY)
State Vendor Code	VC6000178133 [AD001]
IRS Classification as Section 501(c)(1)	Determination letter dated October 20, 1972
Federal Supply Code / CAGE Code	1KVP4
NSF/UM/Amherst Institution Code	0022210000
DUNS Number / CCR #	153926712
NAICS # (N. American Industry classification sys. #)	8733
Institution Human Subject Assurance ID#	FWA00003909
Institution Animal Welfare Assurance ID#	A3551-01
Approx. # of employees (non-students)	7,842
Approx. student enrollment	22,445
OGCA website for additional information_	http://www.umass.edu/research/ogca